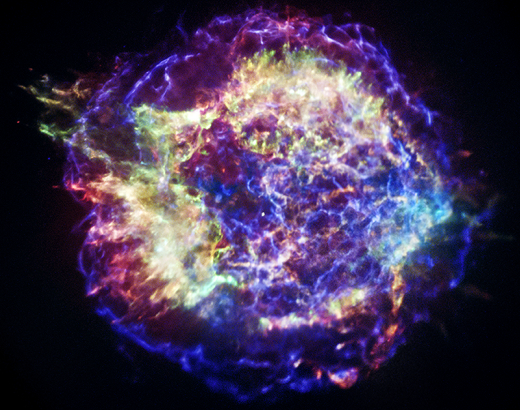




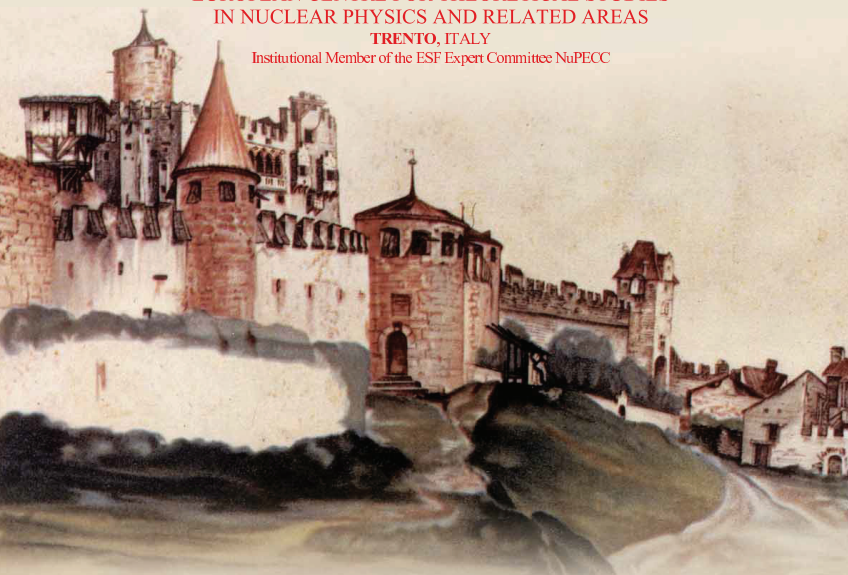
Density Functional Theory meets Bayesian Neural Networks: A New Paradigm in the Study of Neutron Stars

Jorge Piekarewicz - Florida State University




ECT*


EUROPEAN CENTRE FOR THEORETICAL STUDIES
IN NUCLEAR PHYSICS AND RELATED AREAS
TRENTO, ITALY
Institutional Member of the ESF Expert Committee NuPECC



Cesareo Trenti ("Torre"), unaccoluto, 19.8 x 27.7, painted by A. Dore on his way back from Venice (1895) British Museum, London

Information and Statistics in Nuclear Experiment and Theory ISNET-3

Trento, November 16-20, 2015

Main Topics
Estimation of statistical uncertainties of calculated quantities,
assessment of systematic errors,
validation and verification of extrapolations,
information content of observables with respect to current theoretical models,
statistical tools of nuclear theory and planning of future experiments,
Bayesian methods and computational techniques,
novel methods of optimization

Key Speakers
Anatoli Afanasjev (Mississippi State University, USA), Enrique Ruiz Arriola (University of Granada, Spain), Julia Bliss (Technical University of Darmstadt, Germany), Rick Casten (Yale University, USA), Gianluca Colo (University of Milan and INFN, Italy), Andreas Ekström (University of Tennessee, USA), Christian Forssen (Chalmers University of Technology, Sweden), Dick Furnstahl (Ohio State University, USA), Krzysztof Graczyk (University of Wrocław, Poland), Tia Haverinen (University of Jyväskylä, Finland), Dave Ireland (University of Glasgow, UK), Yannis Jaganathan (Michigan State University, USA), Markus Kortelainen (University of Jyväskylä and Helsinki Institute of Physics, Finland), Amy Lovell (Michigan State University, USA), Rodrigo Navarro-Perez (Lawrence Livermore National Laboratory, USA), Witold Nazarewicz (Michigan State University, USA), Nils Paar (University of Basel, Switzerland), Alessandro Pastore (University of York, UK), Jorge Piekarewicz (Florida State University, USA), Scott Pratt (Michigan State University, USA), David Regnier (CEA Bruyères, France), Paul-Gerhard Reinhard (University of Erlangen, Germany), David Richards (Jefferson Laboratory, USA), Xavier Roca-Maza (University of Milan and INFN, Italy), Jan Ryckebusch (Ghent University, Belgium), Nicolas Schunck (Lawrence Livermore National Laboratory, USA), Achim Schwenk (TU Darmstadt), Paul Stevenson (University of Surrey, UK), Rebecca Surman (University of Notre Dame, USA), Bartłomiej Szpak (Institute of Nuclear Physics PAN - Kraków), Sarah Wesolowski (Ohio State University, USA), Stefan Wild (Argonne National Laboratory, USA)

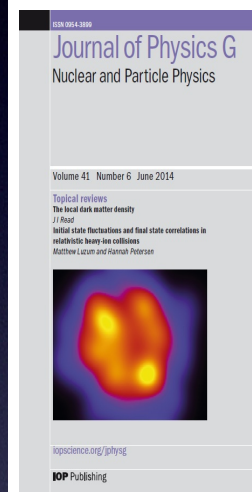
Organizers
David Ireland (University of Glasgow)
Witold Nazarewicz (FRIB/NSCL - Michigan State University)
Bartłomiej Szpak (Institute of Nuclear Physics PAN - Kraków)

Director of the ECT*: Professor Wolfram Weise (ECT*)

The ECT* is sponsored by the "Fondazione Bruno Kessler" in collaboration with the "Assessorato alla Cultura" (Provincia Autonoma di Trento), funding agencies of EU Member and Associated States and has the support of the Department of Physics of the University of Trento.

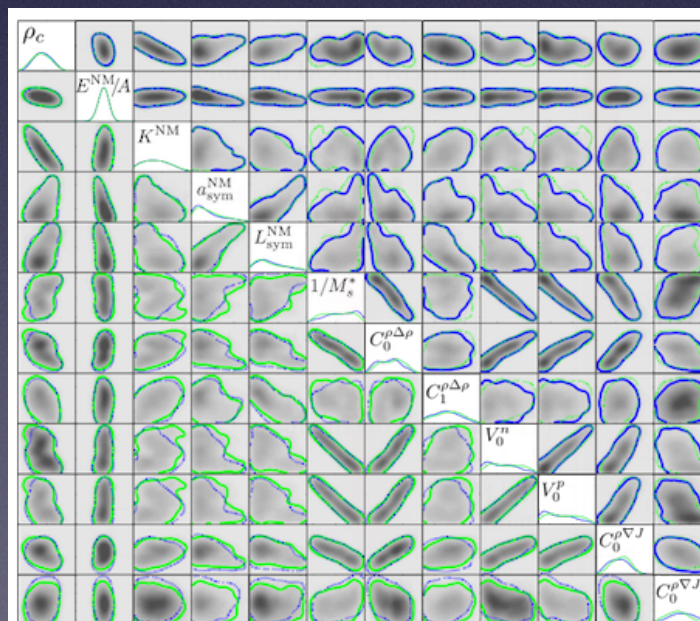
For local organization please contact: Giannaria Ziglio - ECT* Secretariat - Villa Timbosi - Strada delle Tabarelle 266 - 38123 Villazano (Trento) - Italy
Tel: (+39-0461) 314721 Fax: (+39-0461) 314750, E-mail: ect@ectstar.eu or visit <http://www.ectstar.eu>

Highlights of 2015



Welcome to JPhysG's 2015 highlights! Before you get to the articles, here are a few of my personal choices.

First, the way the community reacted and engaged with our focus issue, Enhancing the interaction between nuclear experiment and theory through information and statistics was outstanding. Linking theory with experiment is vital for any field and I look forward to seeing more research on the topic in both nuclear and particle physics.



*Bayesian Methods
in Nuclear Physics
INT Program
2016*

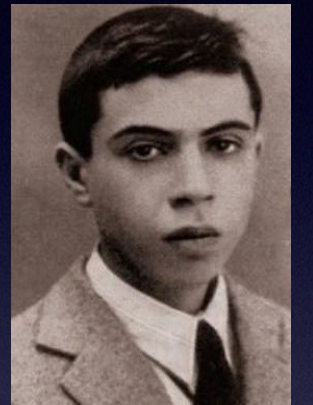
2017 ICNT Program: *Extracting Bulk Properties of Neutron-Rich Matter
with Transport Models in Bayesian Perspective.*
March 22 — April 12, 2017 at FRIB/MSU

Neutron Stars: Very Few Historical Facts

- 📌 Chandrasekhar shows that massive stars will collapse (1931)



- 📌 Chadwick discovers the neutron (1932)
(... predicted earlier by Majorana but never published)



- 📌 Baade-Zwicky introduce the concept of a neutron star (1933)
(... Landau mentions dense stars that look like giant nuclei!)



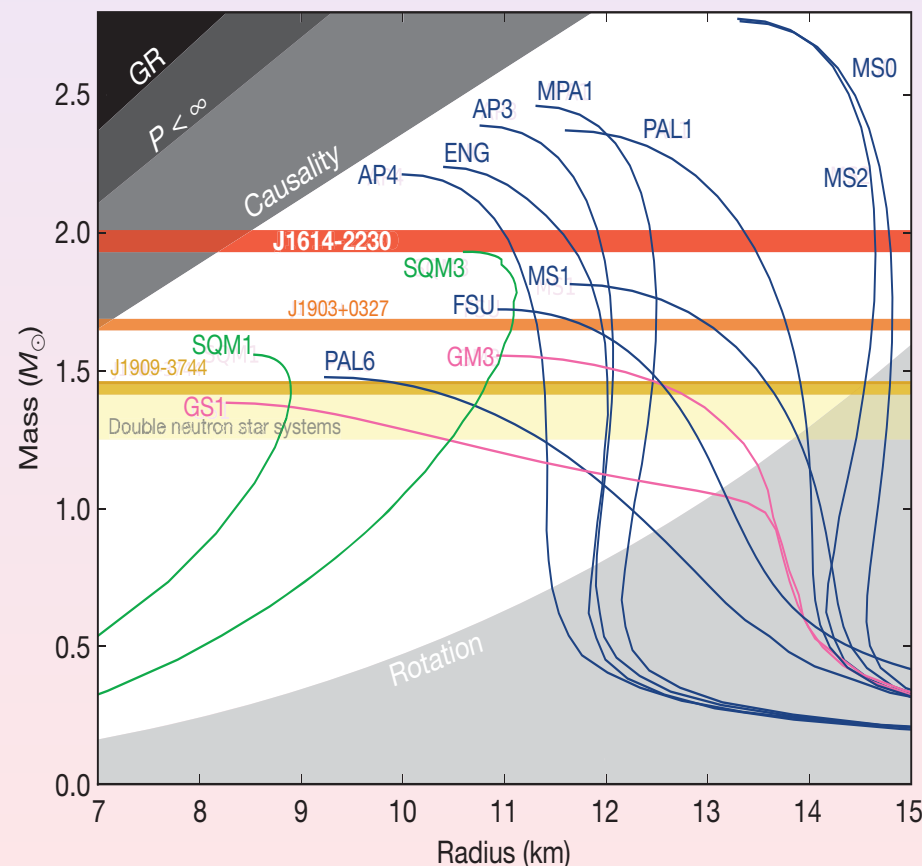
- 📌 Oppenheimer-Volkoff use GR to compute the structure of neutron stars (1939)
(... predict $M_{\star} \simeq 0.7 M_{\odot}$ as maximum neutron star mass)



- 📌 Jocelyn Bell discovers neutron stars (1967)

Neutron Stars: Unique Cosmic Laboratories

- Neutron stars are the remnants of massive stellar explosions (CCSN)
 - Bound by gravity — NOT by the strong force
 - Catalyst for the formation of exotic state of matter
 - Satisfy the Tolman-Oppenheimer-Volkoff equation ($v_{\text{esc}}/c \sim 1/2$)
- Only Physics that the TOV equation is sensitive to: *Equation of State*
 - EOS must span about 11 orders of magnitude in baryon density
- Increase from $0.7 \rightarrow 2 M_{\text{sun}}$ transfers ownership to Nuclear Physics!*
- Predictions on stellar radii differ by several kilometers!



$$\frac{dM}{dr} = 4\pi r^2 \mathcal{E}(r)$$

$$\frac{dP}{dr} = -G \frac{\mathcal{E}(r)M(r)}{r^2} \left[1 + \frac{P(r)}{\mathcal{E}(r)} \right] \left[1 + \frac{4\pi r^3 P(r)}{M(r)} \right] \left[1 - \frac{2GM(r)}{r} \right]^{-1}$$

Need an EOS: $P = P(\mathcal{E})$ relation

Nuclear Physics Critical



The Composition of the Outer Crust

Enormous sensitivity to nuclear masses

- System unstable to cluster formation

- BCC lattice of neutron-rich nuclei imbedded in e-gas

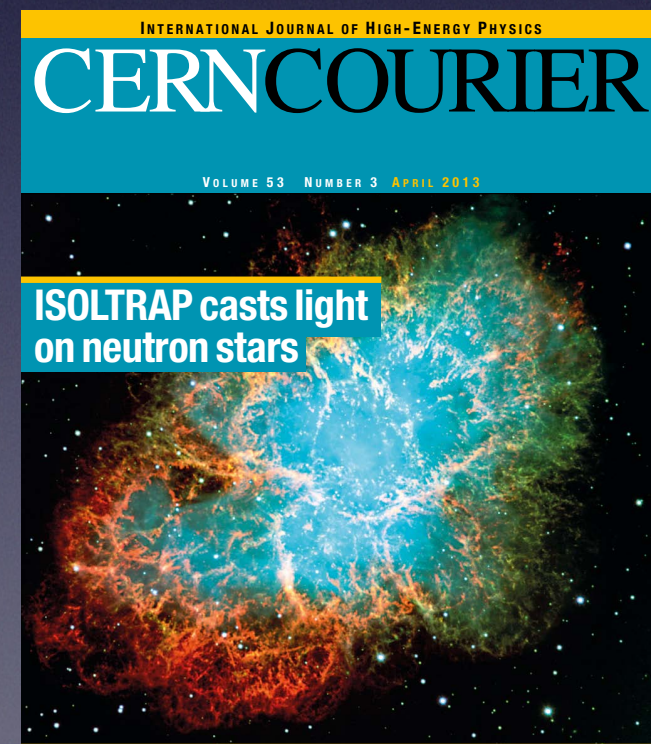
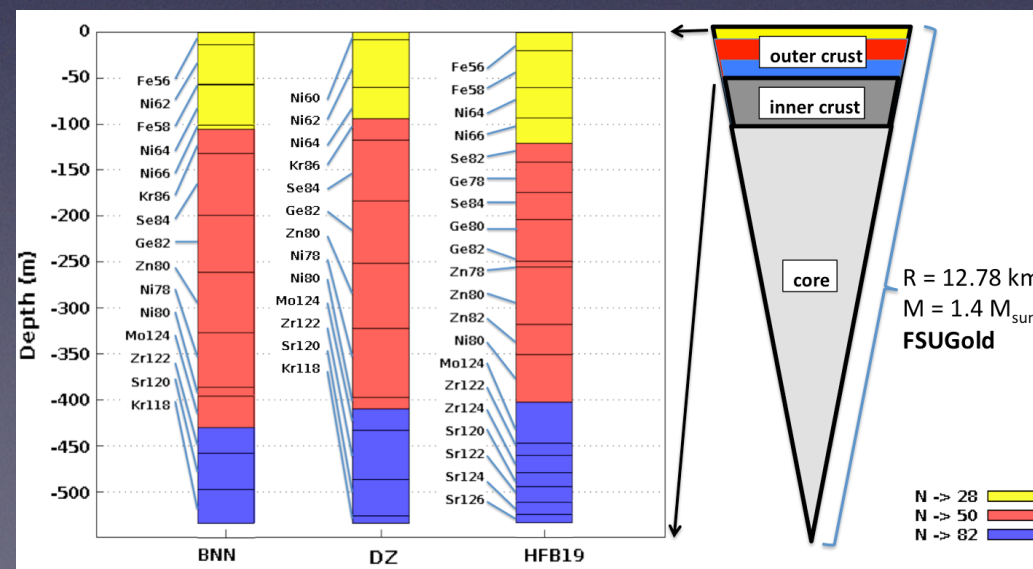
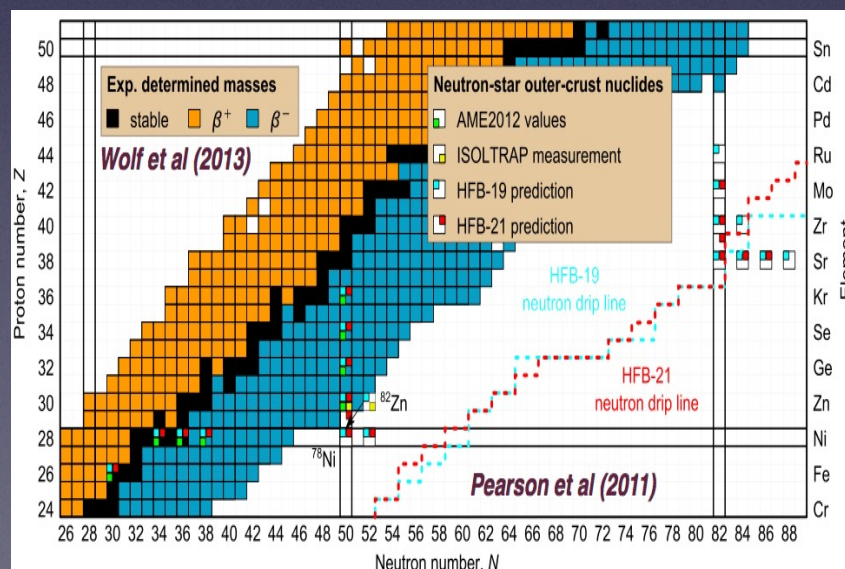
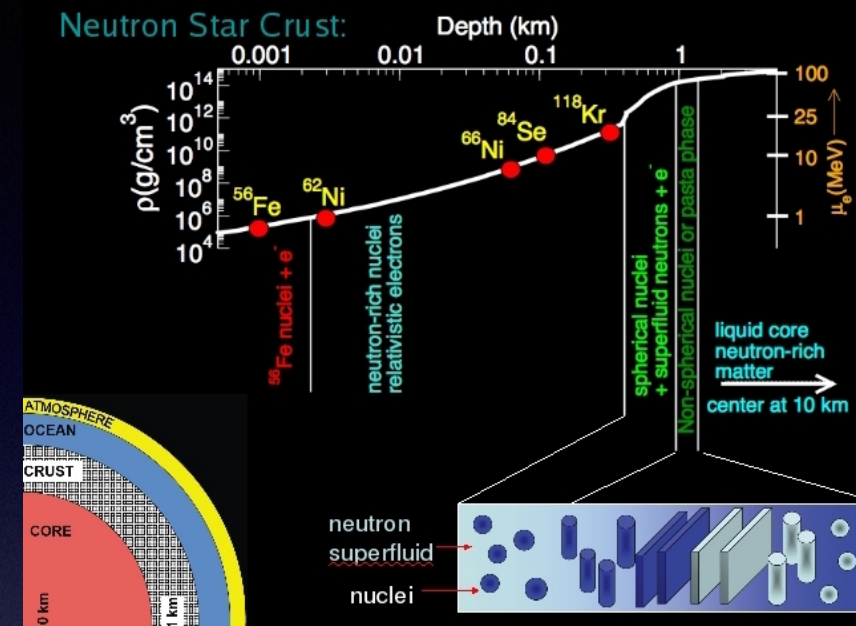
- Composition emerges from relatively simple dynamics

- Competition between electronic and symmetry energy

$$E/A_{\text{tot}} = M(N, Z)/A + \frac{3}{4} Y_e^{4/3} k_F + \text{lattice}$$

- Precision mass measurements of exotic nuclei is essential

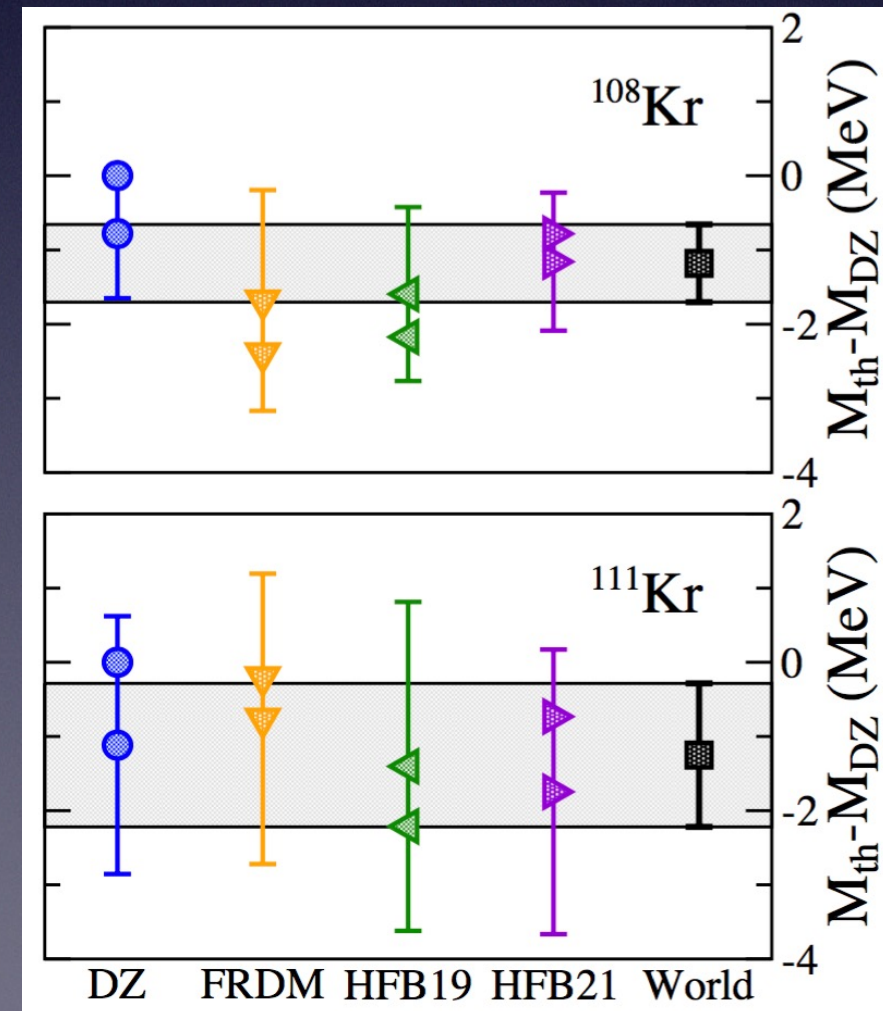
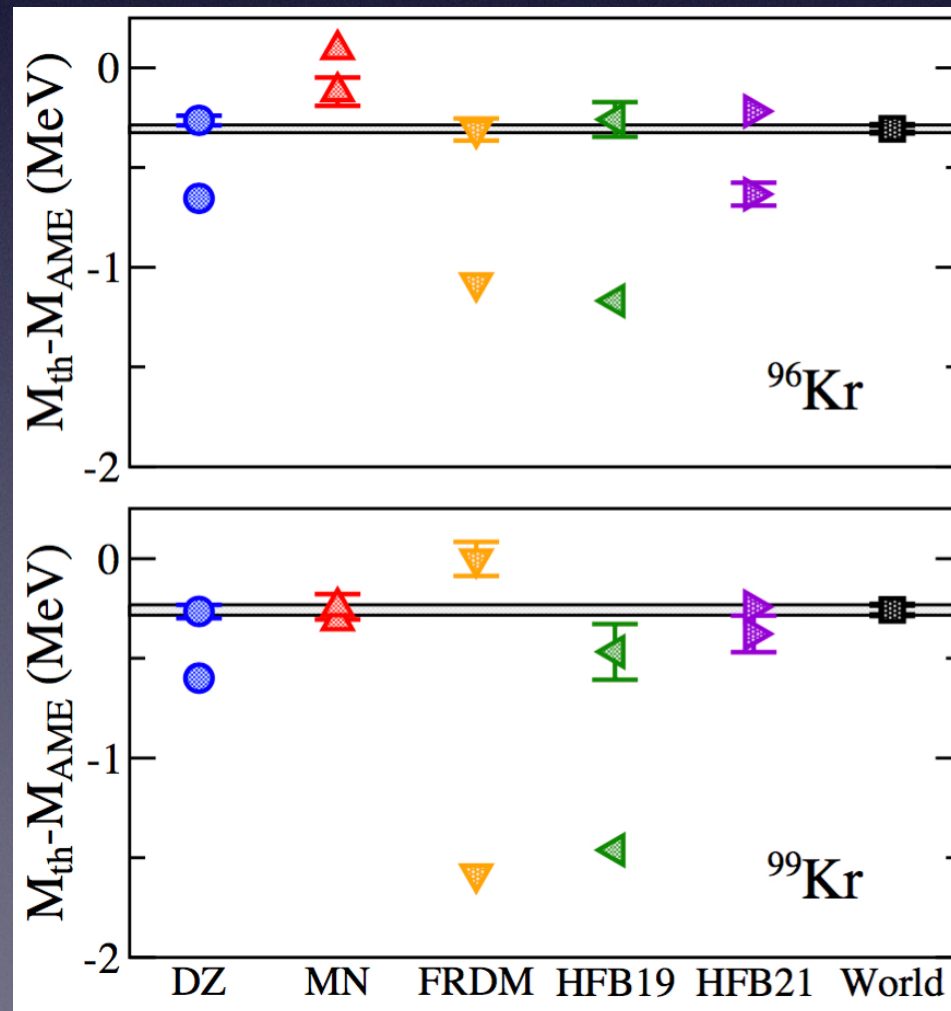
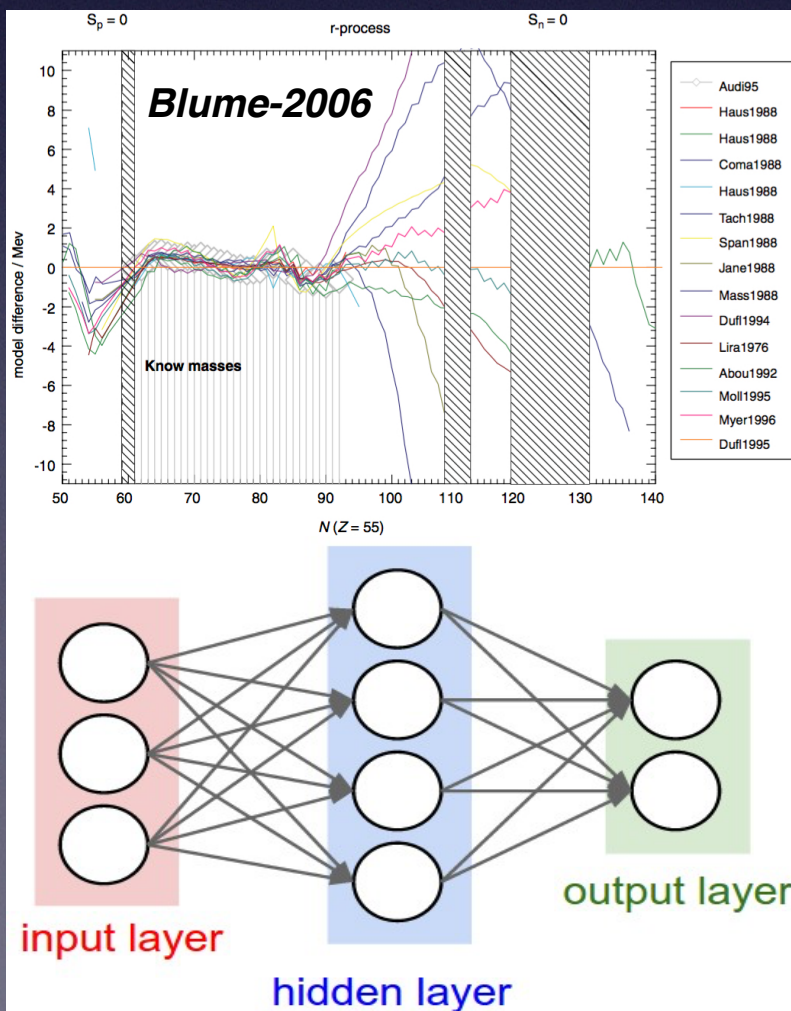
- Both - for neutron-star crusts and r-process nucleosynthesis



- Use DFT to predict nuclear masses
 - Train BNN by focusing on residuals
- The paradigm*

$$M(N, Z) = M_{DFT}(N, Z) + \delta M_{BNN}(N, Z)$$

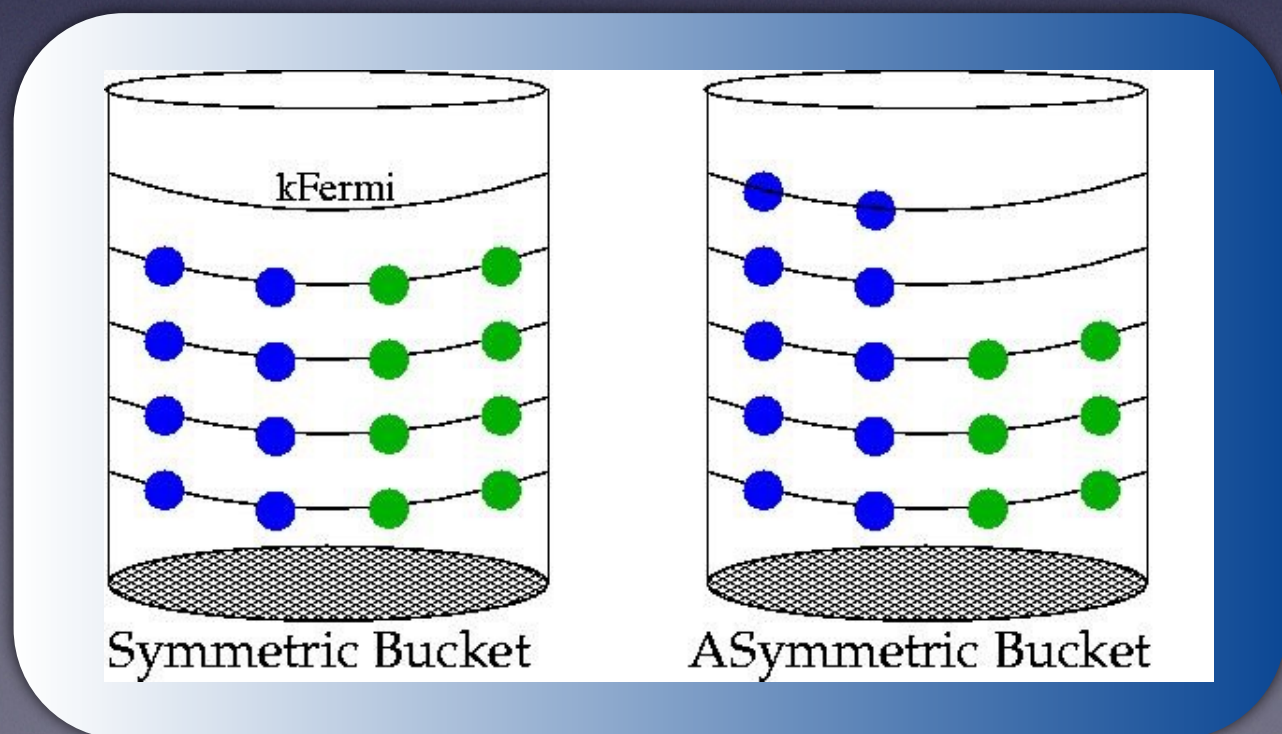
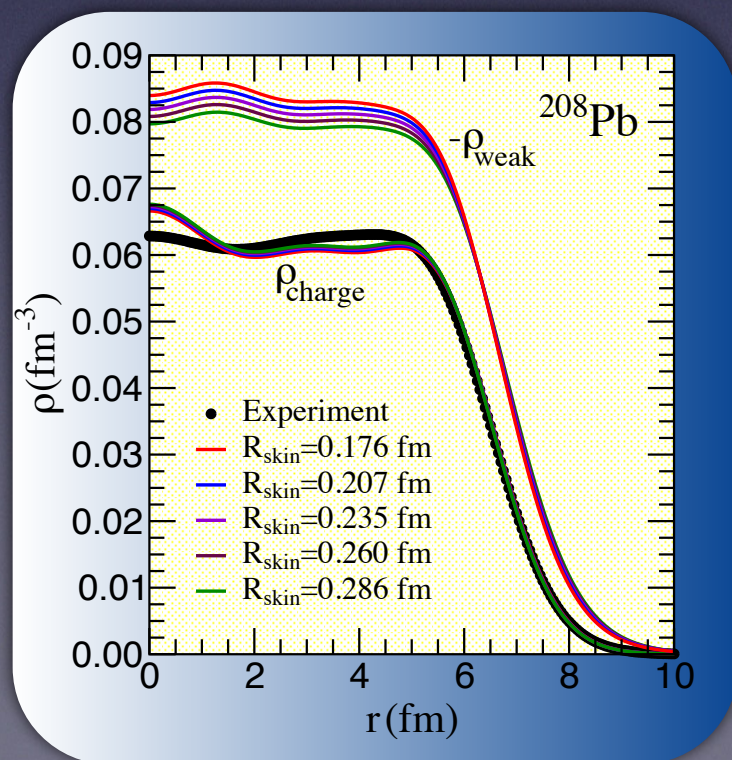
- Systematic scattering greatly reduced
- Predictions supplemented by theoretical errors



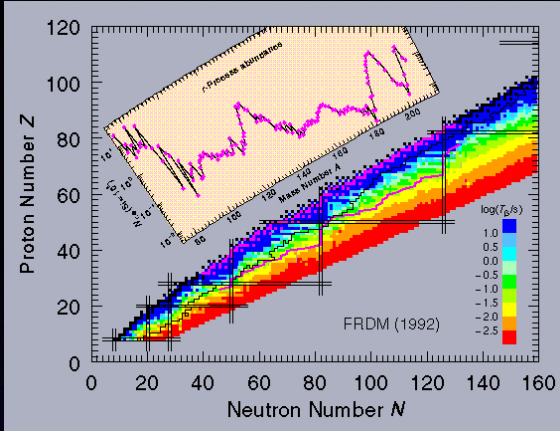
The Equation of State of Neutron-Rich Matter

- The EOS of asymmetric matter:** $\alpha=(N-Z)/A$; $x=(\rho-\rho_0)/3\rho_0$; $T=0$
 - $\rho_0 \simeq 0.15 \text{ fm}^{-3}$ — saturation density \leftrightarrow nuclear density

$$\mathcal{E}(\rho, \alpha) \simeq \mathcal{E}_0(\rho) + \alpha^2 \mathcal{S}(\rho) \simeq \left(\epsilon_0 + \frac{1}{2} K_0 x^2 \right) + \left(J + Lx + \frac{1}{2} K_{\text{sym}} x^2 \right) \alpha^2$$
- Symmetric nuclear matter saturates:**
 - $\epsilon_0 \simeq -16 \text{ MeV}$ — binding energy per nucleon \leftrightarrow nuclear masses
 - $K_0 \simeq 230 \text{ MeV}$ — nuclear incompressibility \leftrightarrow nuclear “breathing” mode
- Density dependence of symmetry poorly constrained:**
 - $J \simeq 30 \text{ MeV}$ — symmetry energy \leftrightarrow masses of neutron-rich nuclei
 - $L \simeq ?$ — symmetry slope \leftrightarrow neutron skin ($R_n - R_p$) of heavy nuclei ?



Model Building: The Protocol



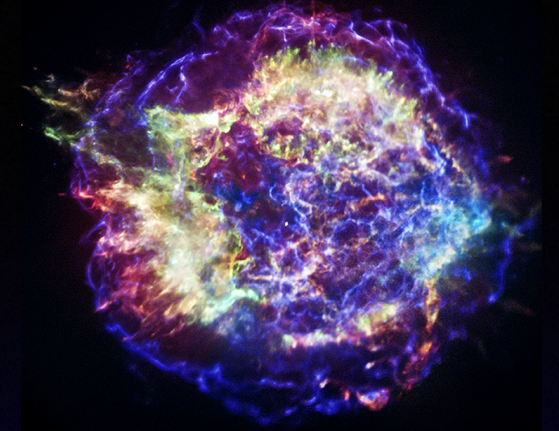
PHYSICAL REVIEW C **90**, 044305 (2014)



Building relativistic mean field models for finite nuclei and neutron stars

Wei-Chia Chen^{*} and J. Piekarewicz[†]

Department of Physics, Florida State University, Tallahassee, Florida 32306, USA



$$\mathcal{L}_{\text{Yukawa}} = \bar{\psi} \left[g_s \phi - \left(g_v V_\mu + \frac{g_\rho}{2} \tau \cdot \mathbf{b}_\mu + \frac{e}{2} (1 + \tau_3) A_\mu \right) \gamma^\mu \right] \psi$$

$$\mathcal{L}_{\text{self}} = \frac{\kappa}{3!} (g_s \phi)^3 - \frac{\lambda}{4!} (g_s \phi)^4 + \frac{\zeta}{4!} g_v^4 (V_\mu V^\mu)^2 + \Lambda_v \left(g_\rho^2 \mathbf{b}_\mu \cdot \mathbf{b}^\mu \right) \left(g_v^2 V_\nu V^\nu \right)$$

Nuclear Density Functional Theory (DFT)

- **Ab-initio calculations of heavy nuclei remains daunting task**
- **Search for energy functional valid over a large physics domain**
“from finite nuclei to neutron stars”
- **Incorporate physics insights into the construction of the functional**
- **Accurately calibrated to various properties of finite nuclei**
masses, charge radii, and giant monopole resonances
- **Empirical constants encode physics beyond mean field**
- **Empirical constants obtained from the optimization of a quality measure**

Nucleus	Observable	Experiment	NL3	FSU	FSU2
¹⁶ O	<i>B/A</i>	7.98	8.06	7.98	8.00
	<i>R_{ch}</i>	2.70	2.75	2.71	2.73
⁴⁰ Ca	<i>B/A</i>	8.55	8.56	8.54	8.54
	<i>R_{ch}</i>	3.48	3.49	3.45	3.47
⁴⁸ Ca	<i>B/A</i>	8.67	8.66	8.58	8.63
	<i>R_{ch}</i>	3.48	3.49	3.48	3.47
⁶⁸ Ni	<i>B/A</i>	8.68	8.71	8.66	8.69
	<i>R_{ch}</i>	—	3.88	3.88	3.86
⁹⁰ Zr	<i>B/A</i>	8.71	8.70	8.68	8.69
	<i>R_{ch}</i>	4.27	4.28	4.27	4.26
¹⁰⁰ Sn	<i>B/A</i>	8.25	8.30	8.24	8.28
	<i>R_{ch}</i>	—	4.48	4.48	4.47
¹¹⁶ Sn	<i>B/A</i>	8.52	8.50	8.50	8.49
	<i>R_{ch}</i>	4.63	4.63	4.63	4.61
¹³² Sn	<i>B/A</i>	8.36	8.38	8.34	8.36
	<i>R_{ch}</i>	4.71	4.72	4.74	4.71
¹⁴⁴ Sm	<i>B/A</i>	8.30	8.32	8.32	8.31
	<i>R_{ch}</i>	4.95	4.96	4.96	4.94
²⁰⁸ Pb	<i>B/A</i>	7.87	7.90	7.89	7.88
	<i>R_{ch}</i>	5.50	5.53	5.54	5.51

Nucleus	TAMU	RCNP	NL3	FSU	FSU2
⁹⁰ Zr	17.81 ± 0.35	—	18.76	17.86	17.93 ± 0.09
¹¹⁶ Sn	15.90 ± 0.07	15.70 ± 0.10	17.19	16.39	16.47 ± 0.08
¹⁴⁴ Sm	15.25 ± 0.11	15.77 ± 0.17	16.29	15.55	15.59 ± 0.09
²⁰⁸ Pb	14.18 ± 0.11	13.50 ± 0.10	14.32	13.72	13.76 ± 0.08

Bayes' Theorem: Application to Model Building

PHYSICAL REVIEW C **90**, 044305 (2014)



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$$\text{Posterior} \leftarrow P(M|D) = \frac{\underset{\text{Likelihood}}{P(D|M)} \overset{\text{Prior}}{P(M)}}{\underset{\text{Marginal Likelihood}}{P(D)}}$$

- QCD is the fundamental theory of the strong interactions!
- M: A theoretical MODEL with parameters and biases
- D: A collection of experimental and observational DATA

- The Prior $P(M)$: An insightful transformation in DFT
 $(g_s, g_v, g_\rho, \kappa, \lambda, \Lambda_v) \iff (\rho_0, \epsilon_0, M^*, K, J, L)$

- The Likelihood $P(D|M) = \exp(-\chi^2/2)$

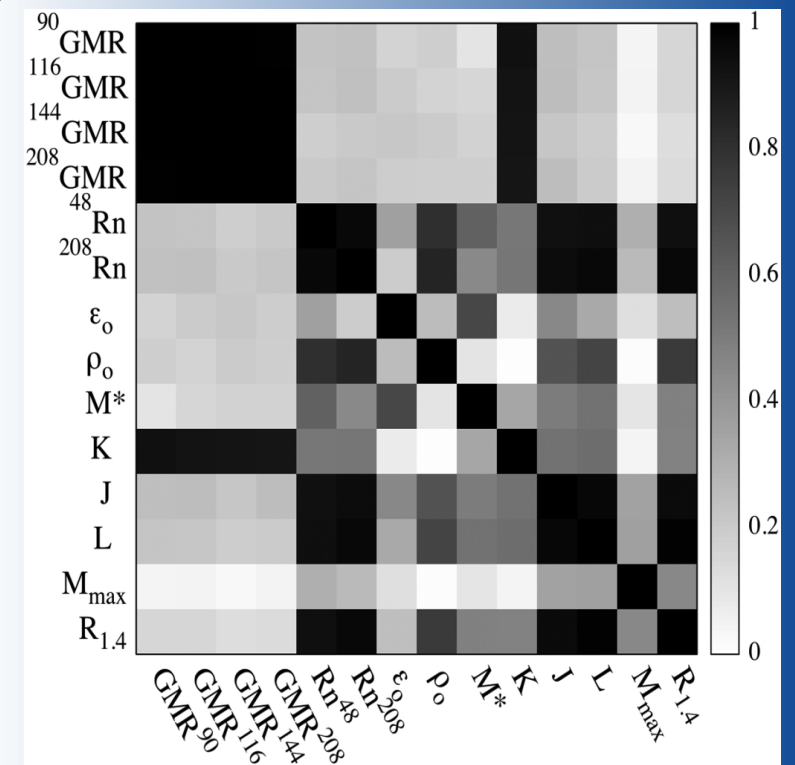
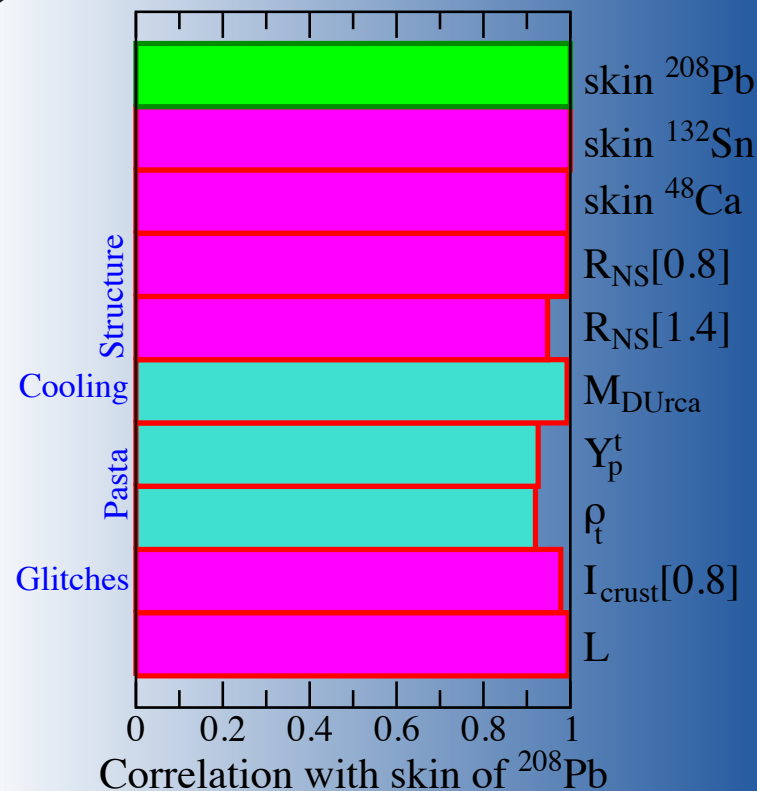
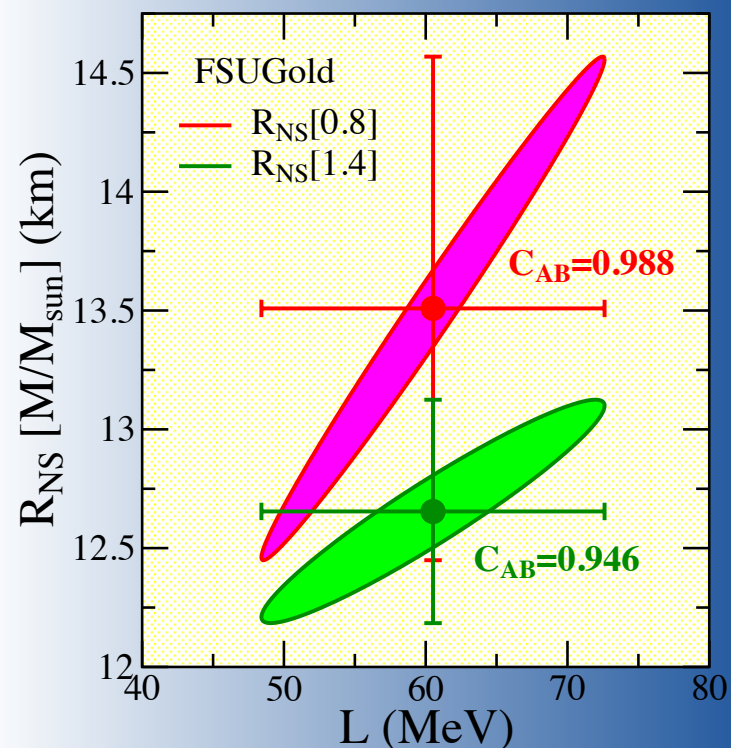
$$\chi^2(D, M) = \sum_{n=1}^N \frac{\left(O_n^{(\text{th})}(M) - O_n^{(\text{exp})}(D)\right)^2}{\Delta O_n^2}$$

- The Marginal Likelihood; overall normalization factor

Heaven and Earth

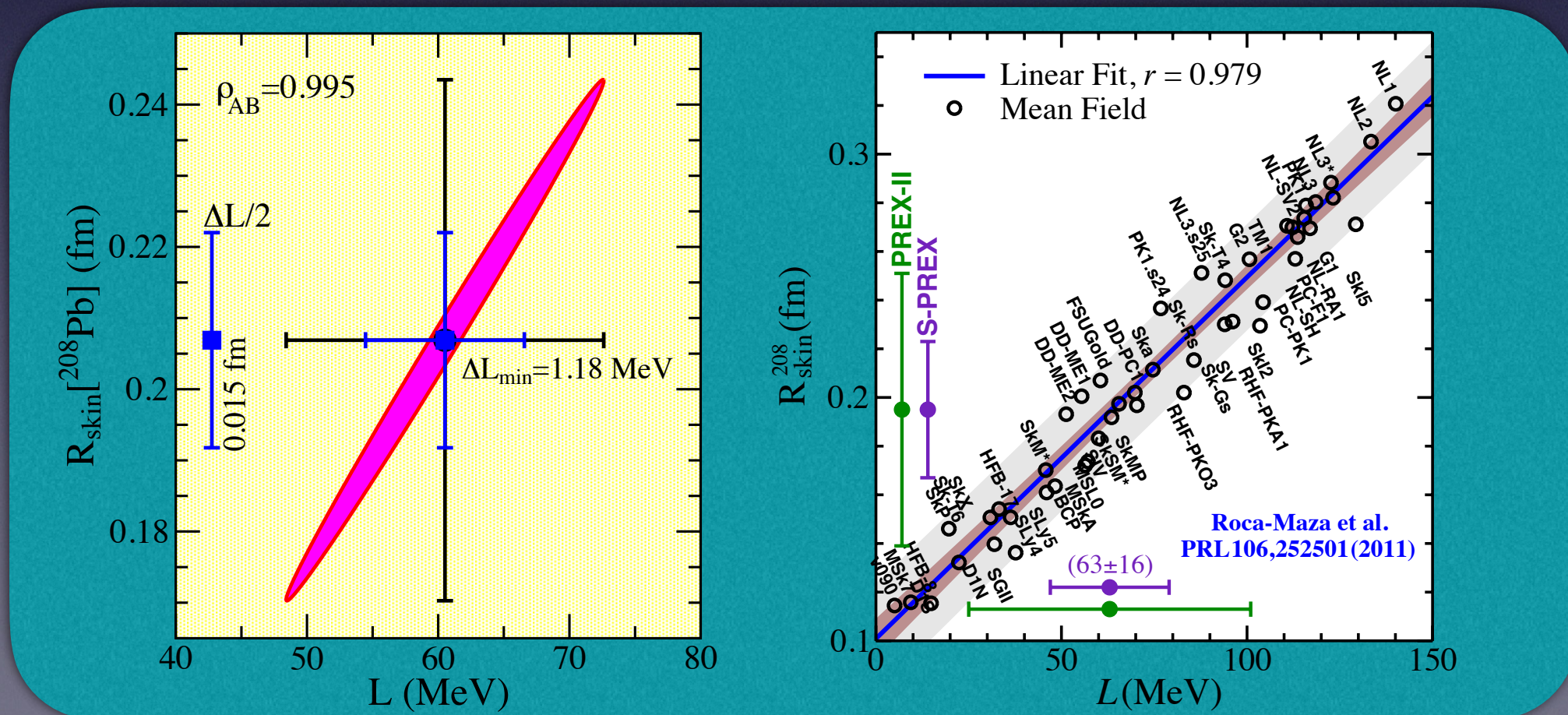
The enormous reach of the neutron skin

- Neutron-star radii are sensitive to the EOS near $2\rho_0$
- Neutron star masses sensitive to EOS at much higher density
- Neutron skin correlated to a host of neutron-star properties
 - Stellar radii, proton fraction, enhanced cooling, moment of inertia
- Neutron skin of heavy nuclei and NS radii driven by same physics
 - Difference in length scales of 18 orders of magnitude!!



Searching for L: The Strategy

- Establish a powerful physical argument connecting L to R_{skin}
- Where do the extra 44 neutrons in ^{208}Pb go?
Competition between surface tension and the **difference** $S(\rho_0) - S(\rho_{\text{surf}}) \simeq L$.
The larger the value of L , the thicker the neutron skin of ^{208}Pb
- Ensure that “your” DFT supports the correlation
- Ensure that “all” accurately-calibrated DFT support the correlation
(... “all models are equal but some models are more equal than others”)



Electroweak Measurement of Neutron Densities

• **PREX@JLAB: First electroweak (clean!) evidence in favor of R_{skin} in Pb**

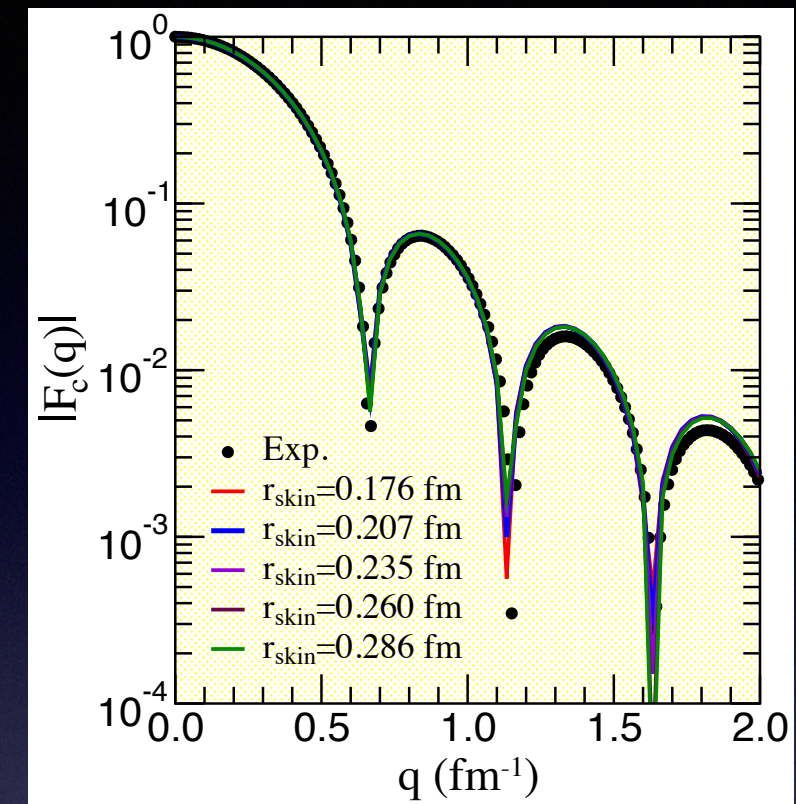
• **Precision hindered by radiation issues**

- Excellent control of systematic uncertainties
- Statistical uncertainties 3 times larger than promised

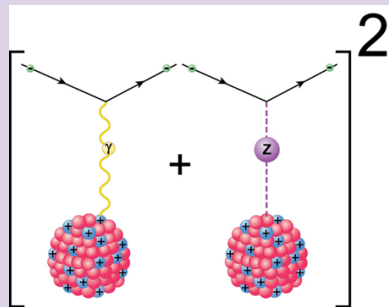
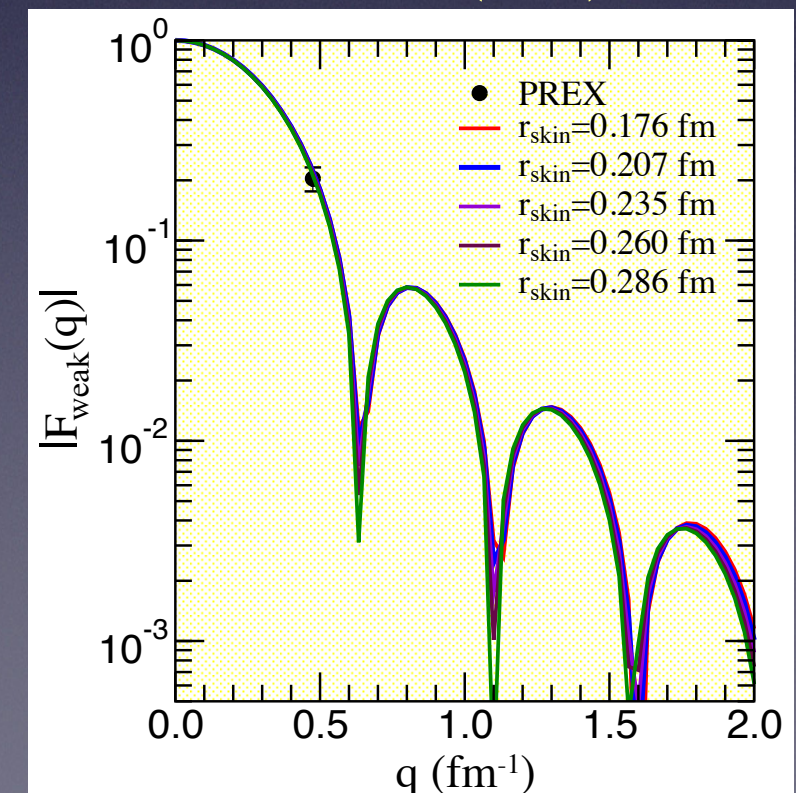
• **PREX-II and CREX to run in 2018**

- Original goal of 1% in neutron radius

$$R_{ch} = 5.5012(13) \text{ fm}$$



$$R_{wk} = 5.826(181) \text{ fm}$$



$$A_{\text{PV}} = \frac{G_F Q^2}{2\sqrt{2}\pi\alpha} \left[\underbrace{1 - 4\sin^2\theta_W}_{\approx 0} - \frac{F_n(Q^2)}{F_p(Q^2)} \right]$$

- Neutral weak-vector boson Z_0 couples preferentially to neutrons
- PV provides a clean measurement of neutron densities (and R_n)

	up-quark	down-quark	proton	neutron
γ -coupling	$+2/3$	$-1/3$	$+1$	0
Z_0 -coupling	$\approx +1/3$	$\approx -2/3$	≈ 0	-1

$$g_v = 2t_z - 4Q\sin^2\theta_W \approx 2t_z - Q$$



The incompressibility of neutron rich matter: Why is tin so fluffy?



Workshop on Nuclear Incompressibility

University of Notre Dame
July 14-15, 2005

The Joint Institute for Nuclear Astrophysics (JINA) will organize a 2-day Workshop focused on **Nuclear Incompressibility and the Nuclear Equation of State**, to be held at the University of Notre Dame during July 14-15, 2005.

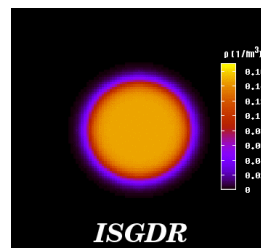
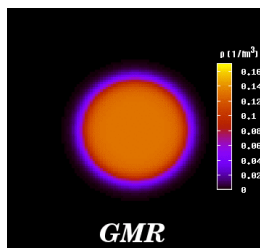
This meeting follows a similar Workshop held at Notre Dame in January 2001, and the **Symposium on Nuclear Equation of State used in Astrophysics Models**, held at the ACS meeting in Philadelphia last Summer.

The primary aim of the Workshop is to bring together interested physicists from the areas of Astrophysics, Giant Resonances, and Heavy-Ion Reactions, to discuss current status of experiments and theoretical models related to nuclear incompressibility and the equation of state, and to explore what experiments might be needed to clarify some of the outstanding issues.

Most of the Workshop will be devoted to talks, with a lot of time allowed for discussions and interactions. In that spirit, we will follow a somewhat flexible schedule for the talks.

There is no registration fee but participants are requested to register via the **webpage** (www.jinaweb.org), so that we can make appropriate arrangements.

For further information, please contact:
Kathy Burgess (kburgess@nd.edu)
or
Umesh Garg (garg@nd.edu)



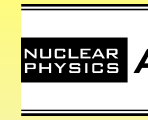
The Joint Institute for Nuclear Astrophysics
May 18, 2005

Outcome: A window into L through systematic measurements of the GMR across a long isotopic chain



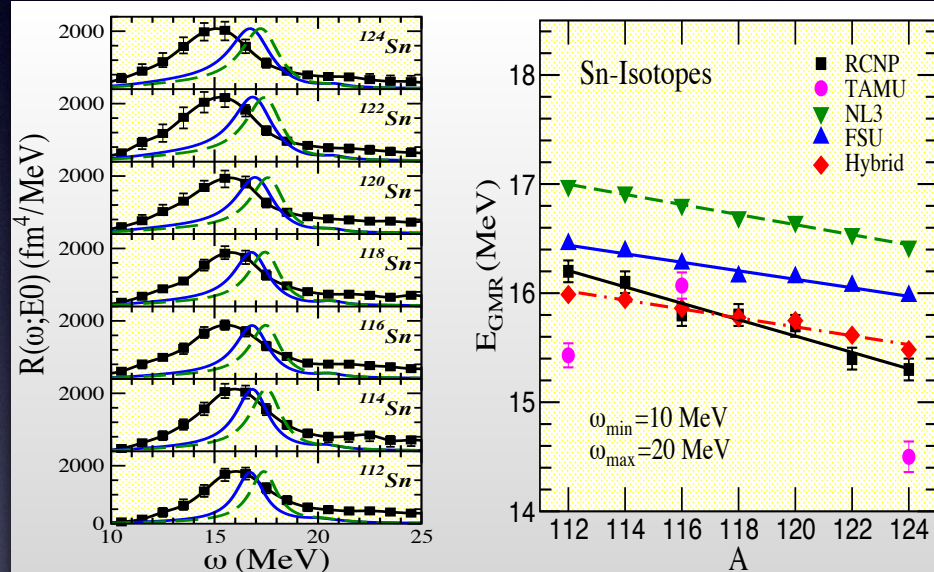
Available online at www.sciencedirect.com
ScienceDirect

Nuclear Physics A 788 (2007) 36c–43c



The Giant Monopole Resonance in the Sn Isotopes: Why is Tin so “Fluffy”?

U. Garg,^a T. Li,^a S. Okumura,^b H. Akimune,^c M. Fujiwara,^b M.N. Harakeh,^d H. Hashimoto,^b M. Itoh,^e Y. Iwao,^f T. Kawabata,^g K. Kawase,^b Y. Liu,^a R. Marks,^a T. Murakami,^f K. Nakanishi,^b B.K. Nayak,^a P.V. Madhusudhana Rao,^a H. Sakaguchi,^f Y. Terashima,^f M. Uchida,^h Y. Yasuda,^f M. Yosoi,^b and J. Zenihiro^f



PRL 99, 162503 (2007)

PHYSICAL REVIEW LETTERS

week ending
19 OCTOBER 2007

Isotopic Dependence of the Giant Monopole Resonance in the Even- A $^{112-124}\text{Sn}$ Isotopes and the Asymmetry Term in Nuclear Incompressibility

T. Li,¹ U. Garg,¹ Y. Liu,¹ R. Marks,¹ B. K. Nayak,¹ P. V. Madhusudhana Rao,¹ M. Fujiwara,² H. Hashimoto,² K. Kawase,² K. Nakanishi,² S. Okumura,² M. Yosoi,² M. Itoh,³ M. Ichikawa,³ R. Matsuo,³ T. Terazono,³ M. Uchida,⁴ T. Kawabata,⁵ H. Akimune,⁶ Y. Iwao,⁷ T. Murakami,⁷ H. Sakaguchi,⁷ S. Terashima,⁷ Y. Yasuda,⁷ J. Zenihiro,⁷ and M. N. Harakeh⁸

PHYSICAL REVIEW C 86, 024303 (2012)

Giant monopole resonances and nuclear incompressibilities studied for the zero-range and separable pairing interactions

P. Veselý,^{1,*} J. Toivanen,¹ B. G. Carlsson,² J. Dobaczewski,^{1,3} N. Michel,¹ and A. Pastore⁴

$$K_0(\alpha) = K_0 + K_\tau \alpha^2;$$

$$K_\tau = K_{\text{sym}} - 6L + \dots$$

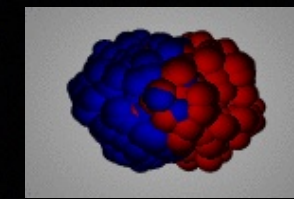
PHYSICAL REVIEW C 76, 031301(R) (2007)
Department of Physics, Florida State University, Tallahassee, Florida 32306, USA
Why is the equation of state for tin so soft?
J. Piekarewicz
(Received 10 May 2007; published 4 September 2007)

PHYSICAL REVIEW C 78, 064304 (2008)
Microscopic linear response calculations based on the Skyrme functional plus the pairing contribution
Jun Li (李俊),^{1,2,*} Gianluca Colo,^{1,3} and Jie Meng (孟杰)^{2,3,4,5,6}

PHYSICAL REVIEW C 79, 034309 (2009)
Description of the giant monopole resonance in the even- A $^{112-124}\text{Sn}$ isotopes within a microscopic model including quasiparticle-phonon coupling
V. Tselyaev,^{1,2} J. Speth,¹ S. Krewald,¹ E. Litvinova,^{3,4,5} S. Kamerdzhiev,^{1,5} N. Lyutorovich,^{1,2} A. Avdeenkov,^{1,6} and F. Grümmer¹

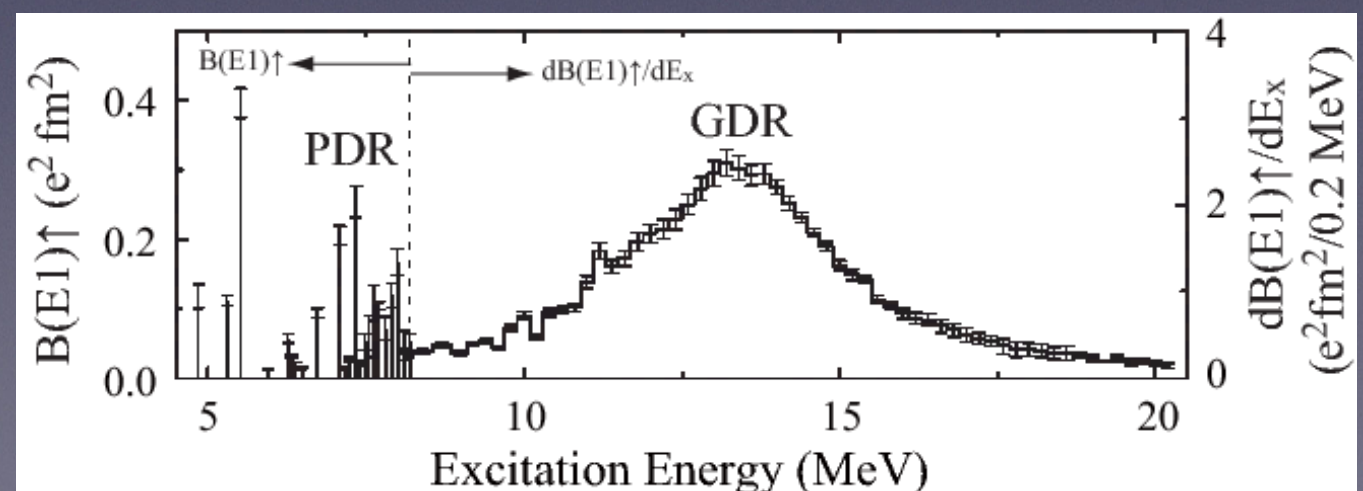
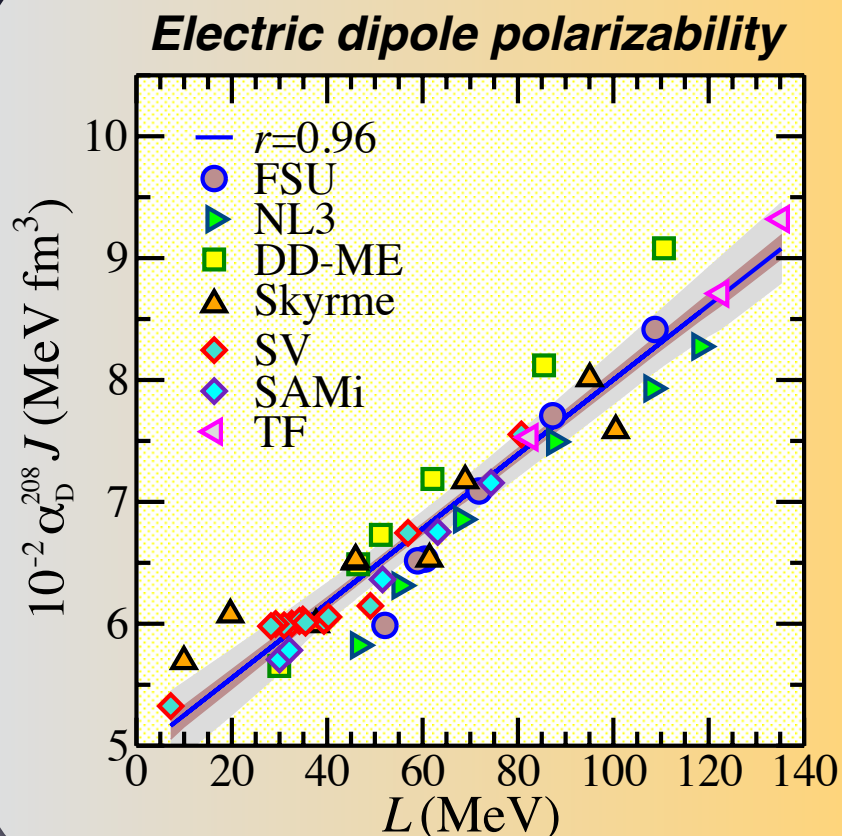
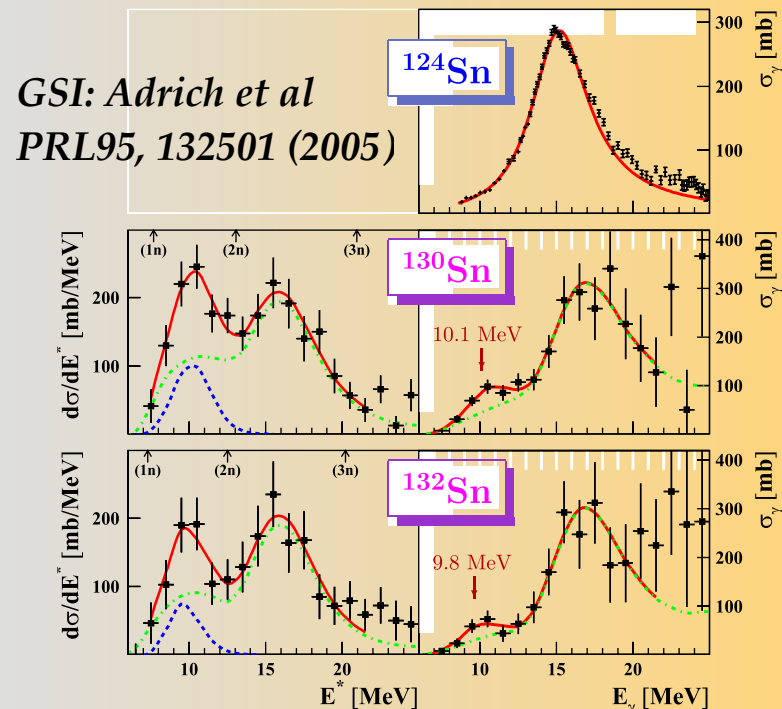
Onwards and upwards
to GMRs
in unstable nuclei!

Electric Dipole Polarizability



IVGDR: The quintessential nuclear excitation

- Out-of-phase oscillation of neutrons vs protons
Symmetry energy acts as restoring force
- Energy weighted **sum rule** largely model independent
- **Inverse** energy weighted sum strongly correlated to L
Actually ... $J\alpha_D$ strongly correlated to L
Important contribution from Pygmy resonance
- High quality data emerging from RCNP, GSI, HIGS
On a variety of nuclei such as Pb, Sn, Ni, Ca, ...
and hopefully in the future along isotopic chains



Neutron-Star Radii

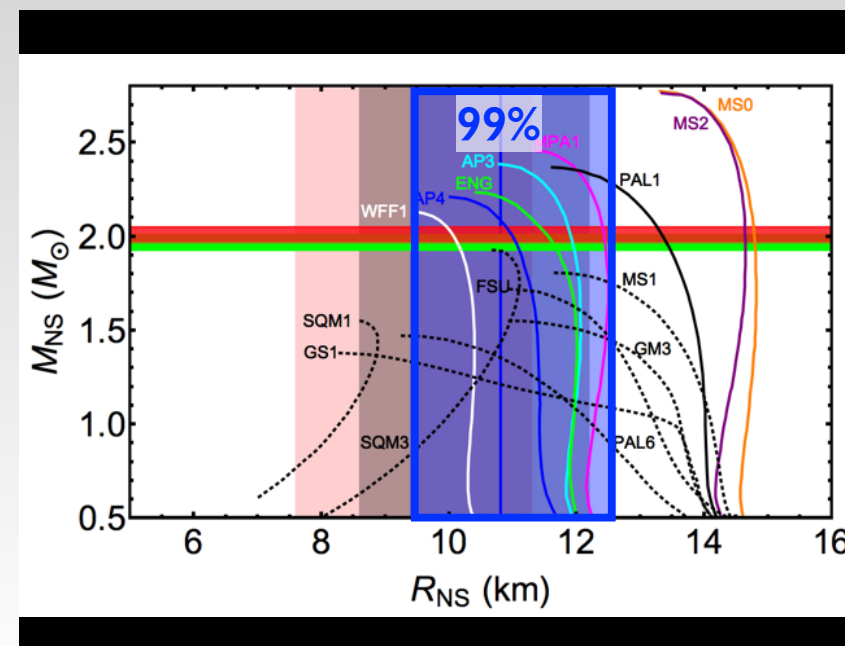
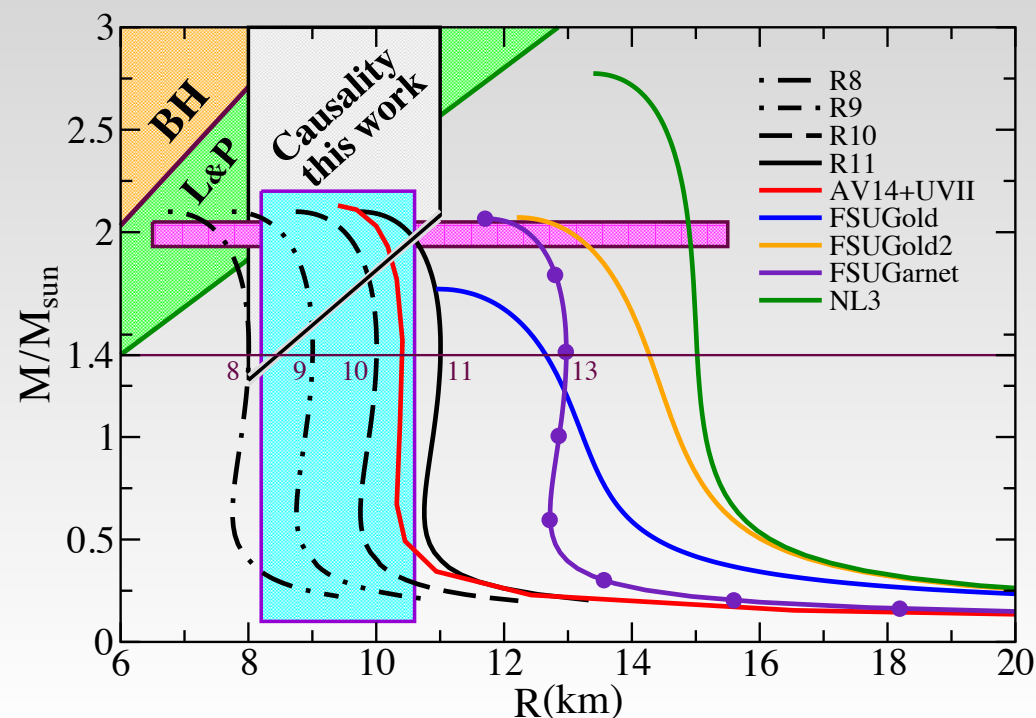
Compactness of Neutron Stars

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- Guillot et al., assume all neutron stars share a common radius
Assumption in MR observable rather than on the EOS
- One-to-one correspondence between EOS and MR
TOV equation + EOS \longrightarrow Unique MR relation
- Lindblom's inversion algorithm shows the inverse also true! [APJ 398, 569 (1992)]
TOV equation + MR \longrightarrow Unique Equation of State
- For a given "common" radius MR profile examine whether:
Resulting EOS is causal or superluminal for stellar masses below $2M_{\odot}$
- For a given "common" radius MR profile, to prevent causality violations
Stellar radius of a $1.4M_{\odot}$ must exceed 10.7 km!



Guillot & Rutledge 2014

$$R_{\text{NS}} = 9.4^{+1.9}_{-1.8} \text{ km}$$

Updated distances

$$R_{\text{NS}} = 10.3^{+1.9}_{-1.7} \text{ km}$$

With Pile-up model

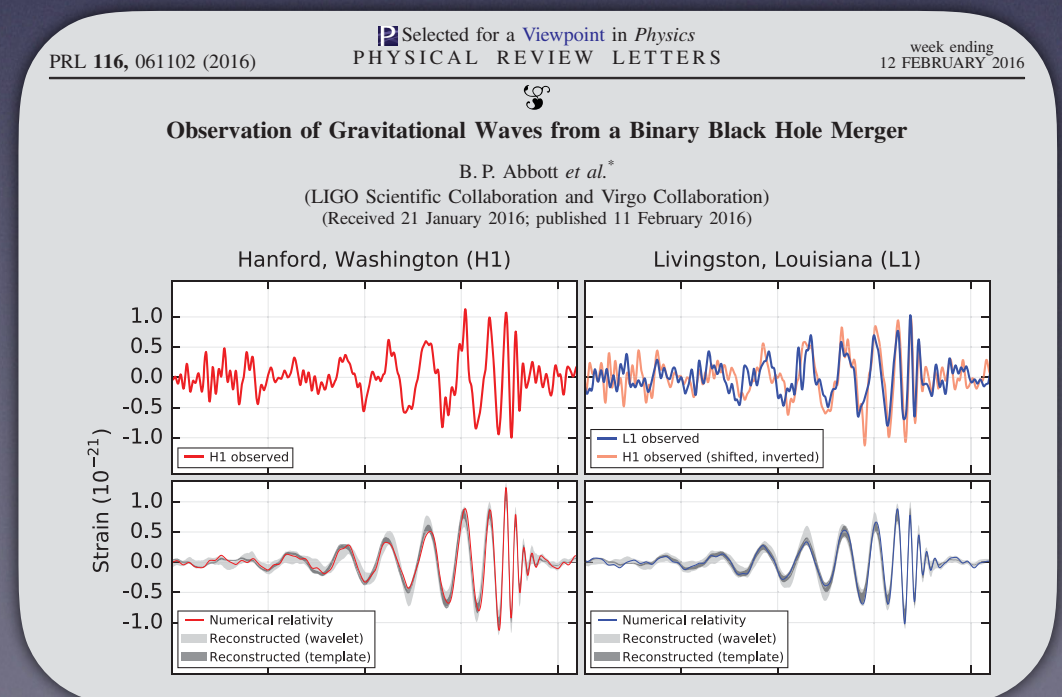
$$R_{\text{NS}} = 10.8^{+1.8}_{-1.4} \text{ km}$$

"We have detected gravitational waves; we did it"

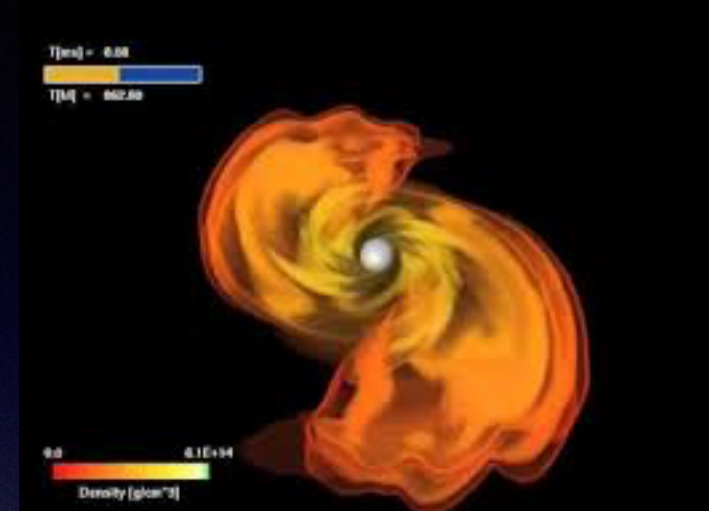
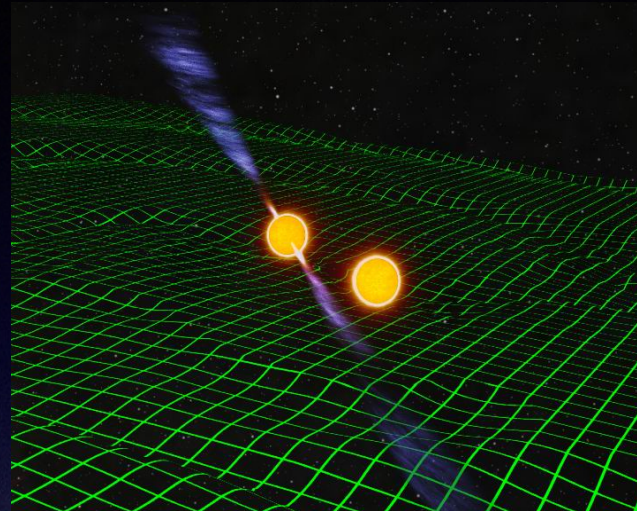
David Reitze, February 11, 2016



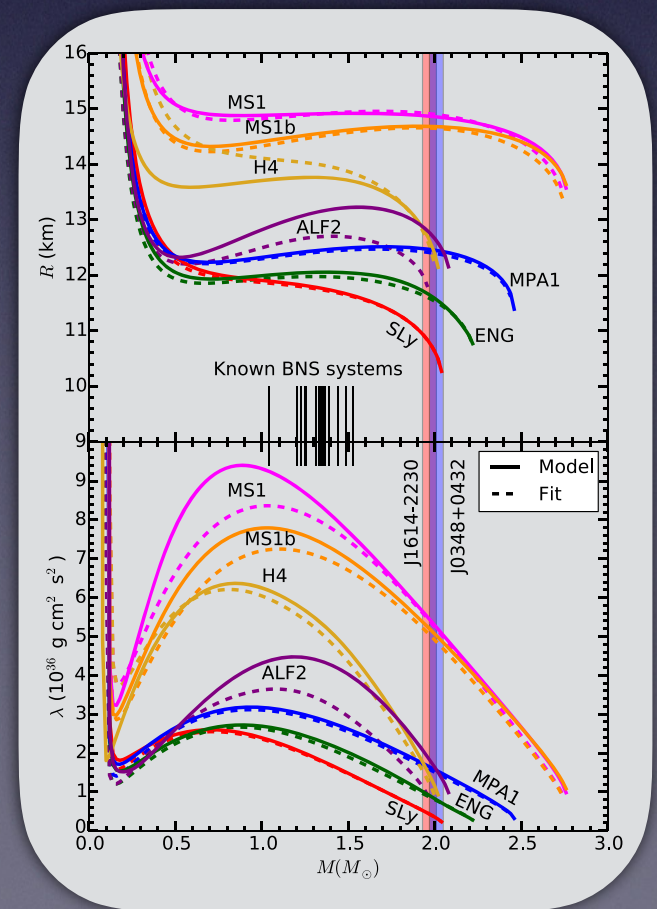
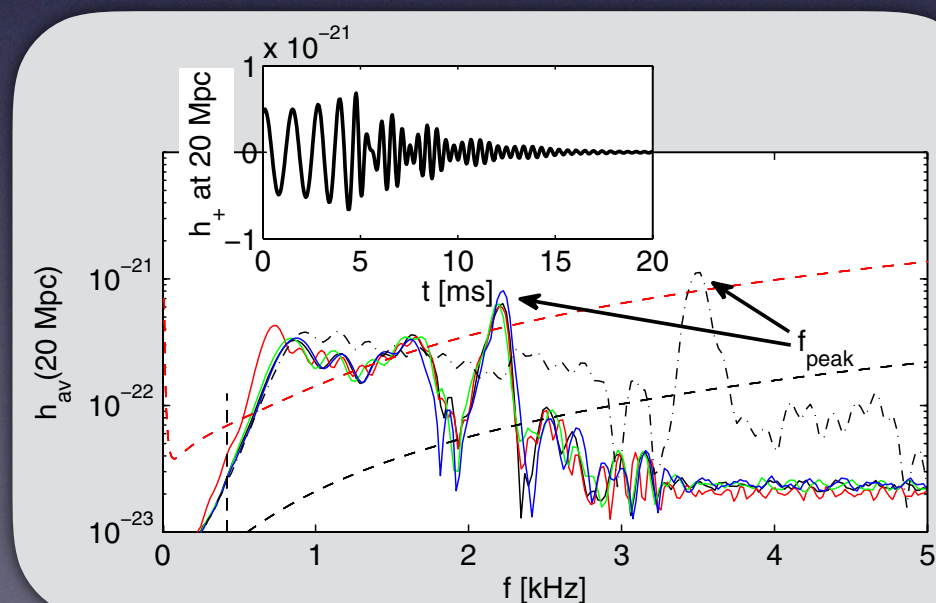
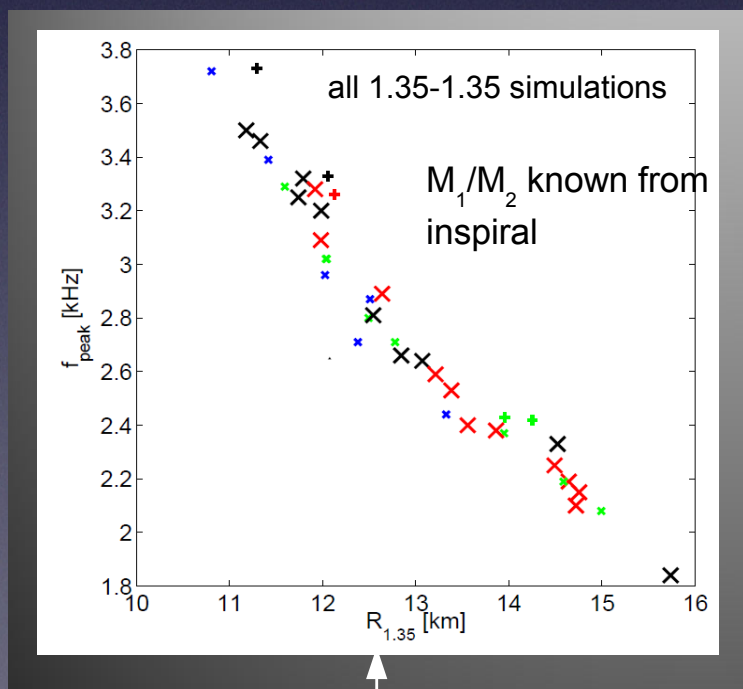
- 📌 The dawn of gravitational wave astronomy
- 📌 Initial black hole masses are 36 and 29 solar masses
- 📌 Final black hole mass is 62 solar masses;
3 solar masses radiated in GW!



What will we learn from neutron-star mergers



Tidal polarizability scales as R^5 !



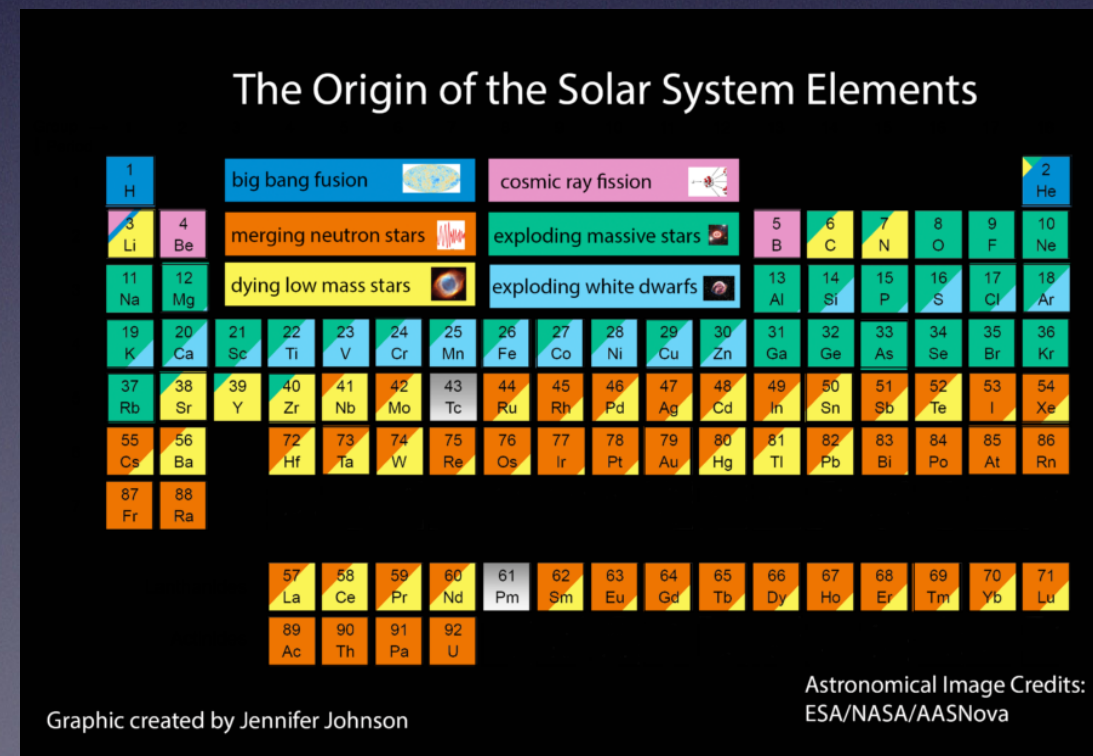
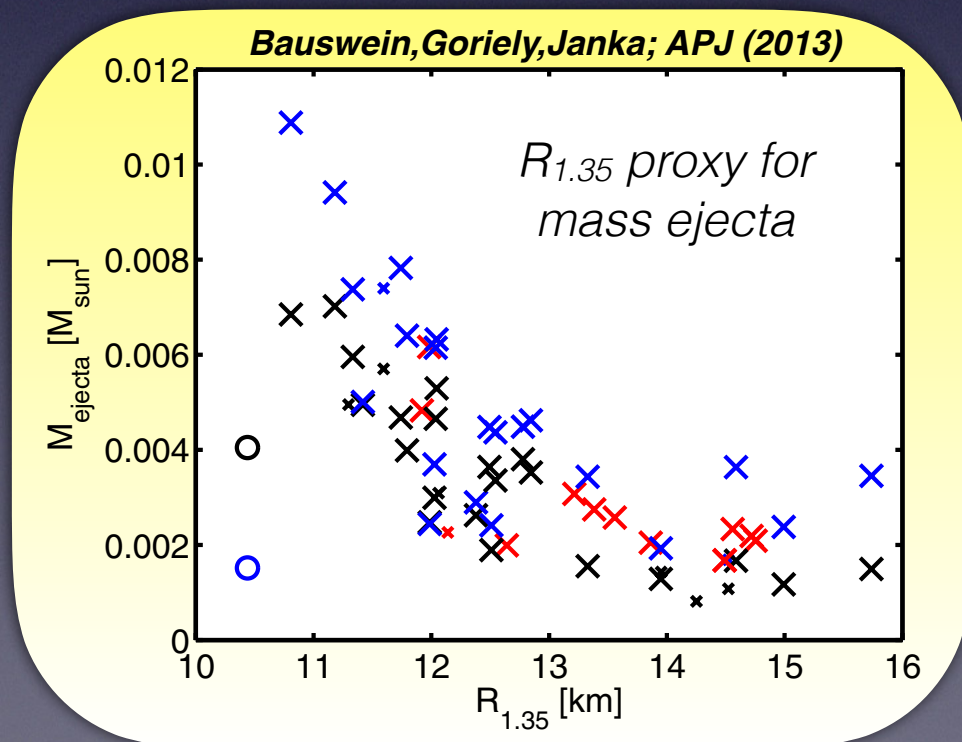
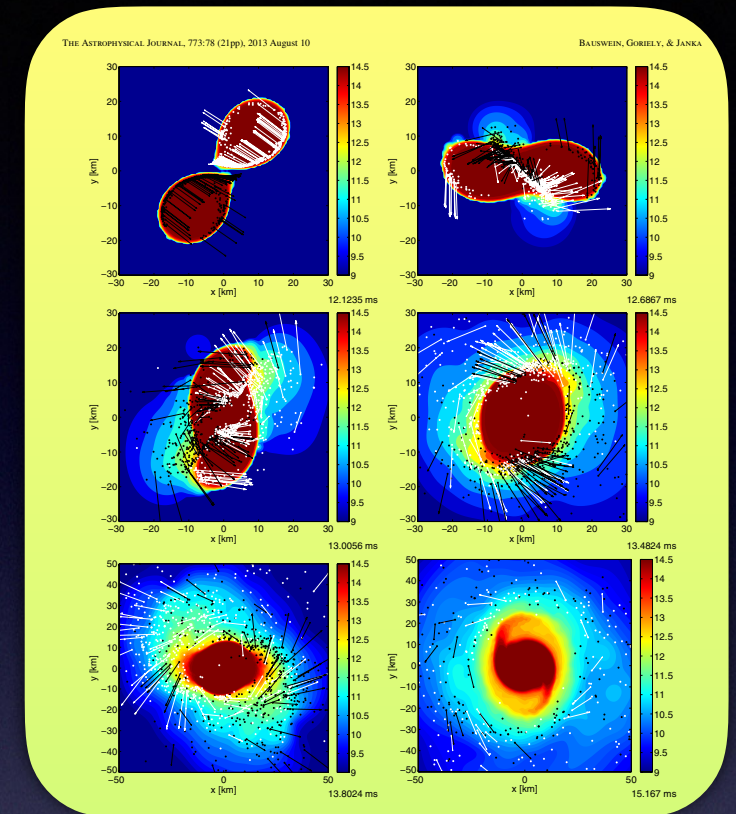
NS radius measured to better than 1km!

What *else* will we learn from neutron-star mergers

- LIGO will provide critical insights into the behavior of ultra dense matter
- Merger rate and ejecta mass unknown
Galactic merger rate depends on EOS: 4×10^{-5} (soft) 4×10^{-4} (stiff) per year to account for observation

Soft: Rn-Rp is small \longrightarrow neutron star more compact
merger is more violent \longrightarrow higher abundance

How were the heavy elements from iron to uranium made?



My Collaborators

My FSU Collaborators

- Genaro Toledo-Sanchez
- Karim Hasnaoui
- Bonnie Todd-Rutel
- Brad Futch
- Jutri Taruna
- **Farrukh Fattoyev**
- **Wei-Chia Chen**
- **Raditya Utama**



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